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Substitute	Substitute for form 1449/PTO			Complete if Known		
SECC	NID 6	11001	CATCAITAI	Application Number	10/028,397	
	SECOND SUPPLEMENTAL			Filing Date	December 21, 2001	
	INFORMATION DISCLOSURE		First Named Inventor	Paul Zuili		
STAT	EME	NT BY	'APPLICANT	Art Unit	2137	
	(Use as many sheets as necessary)		Examiner Name	Michael J. Pyzocha		
Sheet	1	of	15	Attorney Docket Number	2222.5600000	

			U.S. PATENT DO	OCUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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mg	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
1	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
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Substitute for form 1449/PTO Complete if Known Application Number 10/028,397 SECOND SUPPLEMENTAL Filing Date December 21, 2001 INFORMATION DISCLOSURE Paul Zuili First Named Inventor STATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) **Examiner Name** Michael J. Pyzocha Attorney Docket Number 2222.5600000 15 Sheet 2 of

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials No.1		Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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dus	FP10	GB 2 328 047 A	02-10-1999	Microsoft Corporation		
1	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		<u> </u>
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	FP14	WO 01/63387 A2	08-30-2001	Visualgold.com, Inc.		
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am	FP18					

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Substitute for form 1449/PTO Complete if Known Application Number 10/028,397 SECOND SUPPLEMENTAL Filing Date December 21, 2001 INFORMATION DISCLOSURE Paul Zuili First Named Inventor STATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) Examiner Name Michael J. Pyzocha Attorney Docket Number 2222.5600000 Sheet 3 of 15

Examiner	Cite	Document Number	U.S. PATENT DO Publication Date	Name of Patentee or	Pages, Columns, Lines,
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	
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Substitute	Substitute for form 1449/PTO			Complete	if Known
8500	ND C	וחסו	ENENTAL	Application Number	10/028,397
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		_	DISCLOSURE	First Named Inventor	Paul Zuili
STAT	EMEN	IT BY	APPLICANT	Art Unit	2137
	(Use as many sheets as necessary)		Examiner Name	Michael J. Pyzocha	
Sheet	4	of	15	Attorney Docket Number	2222.5600000

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Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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SECOND SUPPLEMENTAL			CAMENTAL	Application Number	10/028,397	
				Filing Date	December 21, 2001	
INFORMATION DISCLOSURE			_ -	First Named Inventor	Paul Zuili	
STAT	EME	NT BY	APPLICANT	Art Unit	2137	
	(Use as many sheets as necessary)			Examiner Name	Michael J. Pyzocha	
Sheet	5	of	15	Attorney Docket Number	2222.5600000	

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Initials*	No.1	Country Code' Number' Kind Code' (if known)		Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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		Filing Date	December 21, 2001	
INFORMATION DISC		First Named Inventor	Paul Zuili	
STATEMENT BY AP	PLICANT	Art Unit	2137	
(Use as many sheets as necessary)		Examiner Name	Michael J. Pyzocha	
Sheet 6 of	15	Attorney Docket Number	2222.5600000	

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Examiner Cite Initials No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Substitute for form 1449/PTO Complete if Known **Application Number** 10/028,397 SECOND SUPPLEMENTAL Filing Date December 21, 2001 INFORMATION DISCLOSURE First Named Inventor Paul Zuili STATEMENT BY APPLICANT Art Unit 2137 (Use as many sheets as necessary) Examiner Name Michael J. Pyzocha Attorney Docket Number 2222.5600000 15 Sheet of

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Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
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Substitute	for form	1449/PT)	Complete if Known		
SECOND SUPPLEMENTAL				Application Number	10/028,397	
				Filing Date	December 21, 2001	
			DISCLOSURE	First Named Inventor	Paul Zuili	
STAT	EME	NT BY	APPLICANT	Art Unit	2137	
(Use as many sheets as necessary)			ets as necessary)	Examiner Name	Michael J. Pyzocha	
Sheet	8	of	15	Attorney Docket Number	2222.5600000	

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SECOND SUPPLEMENTAL				Application Number	10/028,397	
				Filing Date	December 21, 2001	
			DISCLOSURE	First Named Inventor	Paul Zuili	
STAT	EME	NT BY	APPLICANT	Art Unit	2137	
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Sheet	9	of	15	Attorney Docket Number	2222.5600000	

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	-	_		Filing Date	December 21, 2001
			DISCLOSURE	First Named Inventor	Paul Zuili
STAT	EME	NT BY	APPLICANT	Art Unit	2137
(Use as many sheets as necessary)				Examiner Name	Michael J. Pyzocha
Sheet	11	of	15	Attorney Docket Number	2222.5600000

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Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Initials [*]	No.1	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Appricant of Cited Document	or Relevant Figures Appear
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0500	NID C	unni	CRACKITAL	Application Number	10/028,397	
			EMENTAL	Filing Date	December 21, 2001	
			DISCLOSURE	First Named Inventor	Paul Zuili	
STAT	EMEN	NT BY	APPLICANT	Art Unit	2137	
	(Use as many sheets as necessary)			Examiner Name	Michael J. Pyzocha	
Sheet	14	of	15	Attorney Docket Number	2222.5600000	

	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials	No.1	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
mg	US261	2004/0068664 A1	04-08-2004	Nachenberg et al.	
1	US262	2004/0073718 A1	04-15-2004	Johannessen et al.	
7	US263	2004/0098580 A1	05-20-2004	DeTreville	
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1	US279	2005/0086531 A1	04-21-2005	Kenrich	
mal	US280	2005/0091484 A1	04-28-2005	Thornton et al.	

			FOREIGN PATENT DO	CLIMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
						

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(Use as many sheets as necessary)			eets as necessary)	Examiner Name	Michael J. Pyzocha		
Sheet	15	of	15	Attorney Docket Number	2222.5600000		

			U.S. PATENT DO	CUMENTS	· · · · · · · · · · · · · · · · · · ·
	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Illitiais	110.	Number-Kind Code ^{2 (If Known)}	WEW DE TOTAL		or Relevant Figures Appear
my	US281	2005/0120199 A1	06-02-2005	Carter	
4	US282	2005/0138371 A1	06-23-2005	Supramaniam	
\neg	US283	2005/0177716 A1	08-11-2005	Ginter et al.	
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	US290	2006/0075465 A1	04-06-2006	Ramanathan et al	
	US291	2006/0093150 A1	05-04-2006	Reddy et al.	
	US292	2006/0230437 A1	10-12-2006	Boyer et al.	
m	US293	2007/0006214 A1	01-04-2007	Dubal et al.	
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE				Application Number	10/028,397	
				Filing Date	December 21, 2001	
				First Named Inventor	Paul Zuili	
			PLICANT	Art Unit	2137	
(Use as many sheets as necessary)		Examiner Name	Michael J. Pyzocha			
Sheet	1	of	5	Attorney Docket Number	2222.5600000	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
Sul	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
	NPL3	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
	NPL4	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL5	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL6	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL7	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Applicatio No. 10/404,566, filed 03/31/2003.	
	NPL8	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL9	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
mp	NPL10	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002	

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05001	D 011DD			Application Number	10/028,397	
SECOND SUPPLEMENTAL				Filing Date	December 21, 2001	
			LOSURE	First Named Inventor	Paul Zuili	
STATE	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2137	
·				Examiner Name	Michael J. Pyzocha	
Sheet	2	of	5	Attorney Docket Number	2222.5600000	

		NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published				
dus	NPL11	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.				
	'NPL12	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002				
	NPL13	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.				
	NPL14	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Camegie Mellon University, Kanaka Juvva, Raj Rajkumar.				
	NPL15	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.				
	NPL16	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.				
	NPL17	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities" inventor Vainstein, December 20, 2002, 39 pgs.				
	NPL18	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.				
	NPL19	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network" inventor Ryan, September 11, 2002, 33 pgs.				
m	NPL20	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network" inventor Kenrich, August 15, 2003, 32 pgs.				

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STATE				Art Unit	2137		
	(Use as man	y sheets as	necessary)	Examiner Name	Michael J. Pyzocha		
Sheet	3	of	5	Attorney Docket Number	2222.5600000		

		NON PATENT LITERATURE DOCUMENTS				
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m	NPL21	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.				
	NPL22	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.				
	NPL23	U.S. patent application, Ser No. 10/074,194, entitled "Methods for idnetifying compunds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.				
	NPL24	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.				
	NPL25	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.				
	NPL26	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.				
	NPL27	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.				
	NPL28	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.				
	NPL29	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.				
m	NPL30	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.				

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Substitute fo	r fo rm 1449/P	то		Complete if Known		
CECON	n cunn	I ERAI	TAITAI	Application Number	10/028,397	
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			LOSURE	First Named Inventor	Paul Zuili	
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	(Use as man)	sheets a	s necessary)	Examiner Name	Michael J. Pyzocha	
Sheet	4	of	5	Attorney Docket Number	2222.5600000	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published				
Jul	NPL31	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.				
	NPL32	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.				
	NPL33	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.				
	NPL34	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.				
	NPL35	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.				
	NPL36	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.				
	NPL37	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.				
	NPL38	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.				
	NPL39	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.				
Anti	NPL40	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.				

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mf	NPL41	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
	NPL42	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL43	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
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	NPL45	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL46	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL47	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL48	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
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